

FORMAL LANGUAGES AND AUTOMATA

Alphabet: A finite non-empty set  $\Sigma$  of symbols called an alphabet.

Letter: An element of an alphabet is called letter, character or symbol. A word or string over  $\Sigma$  is a finite sequence.

Language: The set of words over  $\Sigma$  is called a language.

Ex: 1: Let  $\Sigma = \{a, b, c\}$  be an alphabet. The sequences  $abb, c, abc, acbd, bcab$  are all words over  $\Sigma$ .

We use the symbol  $\lambda$  to denote the empty word.

Consider a collection

$Q = \{a, b, c, abb, \lambda\}$  is a language over  $\Sigma$  with 5 words.

EX: 2

For  $\Sigma = \{0, 1\}$   $Q = \{000, 010, 101, 100, 101, 101, 0010\}$  is a language over  $\Sigma$  with finite words and.

$R = \{001, 000, 100, 110, 101, 1001, \dots\}$  is a language over  $\Sigma$  with infinite no. of words.

Note: The length of a word (or) a string is the number of letters in the word.

Ex:  $|abc| = 3$ ,  $|bcabcb| = 6$ .

Concatenation: (Joining two strings).

Let  $\Sigma = \{a_1, a_2, \dots, a_n, b_1, b_2, \dots, b_m\}$  be an alphabet

and  $x = a_1 a_2 \dots a_n$ ,  $y = b_1 b_2 \dots b_m$  be any two words.

The concatenation of  $x$  and  $y$  is defined by

- 1. The concatenation of empty word with any word  $x$  is  $x$  itself.
  - (i)  $\lambda x = x\lambda = x$
- 2. If  $z$  is the combination of  $x$  &  $y$ 
  - (i)  $z = xy$ . then  $x$  is called a prefix of  $z$  and  $y$  is called suffix of  $z$ .
- 3.  $x^n = x x \dots x x$  (n times)
  - $(aba)^3 = abanbaaba$  (3 times  $aba$ )
  - $(ab)^5 = ababababab$  (5 times  $ab$ )
- 4. The set of all finite words over  $\Sigma$  is denoted by  $\Sigma^*$ .
- 5. The concatenation (or) set of all words including the empty word over an alphabet  $\Sigma$  is denoted by  $\Sigma^+$ .  
clearly  $\Sigma^+ = \Sigma^* \cup \{\lambda\}$ .

6. The words  $x = a_1 a_2 \dots a_m$   $y = b_1 b_2 \dots b_n$  are said to be equal iff  $m=n$  for each  $i$ .  
 $a_i = b_i$  ( $i = 1, 2, \dots, n$ )

- 7. If  $x, y, z$  be any three words. Then
  - (i)  $x(yz) = (xy)z$  (Associative)
  - (ii)  $xy \neq yx$  (not commutative)

Syntax

The specification of the proper construction sentences is called the syntax of the language.

Semantics

The specification of the meaning of the sentences is called the semantics of the language.

Free monoid

The set of strings  $\Sigma^*$  over any alphabet,  $\Sigma$  is a monoid under the binary operation, concatenation called free monoid over  $\Sigma$ .

The null string  $\lambda$  is the identity element of this free monoid.

Grammars :

Defn : A phrase structure grammar or simply a grammar

$G$  consists of four parts:  $V_N, V_T, S$  and  $P$ .

where (i)  $V_N$  is a finite set, also called vocabulary, whose elements are called variables.

(ii)  $V_T$  is a finite subset of  $V_N$  whose elements are called terminals.

(iii)  $V_N \cap V_T = \emptyset$ .

(iv)  $S$  is a special variable in the set  $V$ , called the start symbol that begins the generation of any sentence in the language.

(v)  $P$  is a finite set whose elements are ordered pair  $(\alpha, \beta)$  usually written as  $\alpha \rightarrow \beta$ . Elements of  $P$  are called productions or production rules.

$\therefore$  A grammar is denoted by  $G = (V, \Sigma, S, P)$ .

otherwise  $G = (V_N, V_T, S, P)$ .

Note : In general an element  $(\alpha, \beta)$  is written as  $\alpha \rightarrow \beta$  ( $\alpha$  leading to  $\beta$ ) is called a "production Rule" (or)

a Re-writing Rule.

Notation and meaning:

1.  $V_T = \{a, b, c, \dots, x, y, z, 0, 1, 2, \dots, 9, \dots\}$

(small letters: set of terminals?)

Terminal symbols are used to make up the sentences in the languages

ex:

The set  $\{a, dog, cat, tree, rose\}$ .

2.  $V_N = \{A, B, C, \dots, x, y, z\}$  (capital letters - set of non-terminals)

The non-terminal symbols are intermediate symbols which are used to describe the structure of the sentences.

ex: The set  $\{SENTENCE, NOUN, ARTICLE, WORD, \dots\}$

3.  $P$ : set of productions:

The productions are grammatical rules that specified how sentences in the formal languages can be made.

A production is of the form  $\alpha \rightarrow \beta$  where  $\alpha \in (V_N \cup V_T)^*$  and  $\beta \in (V_N \cup V_T)^*$ .

$\alpha$  must include at least one non-terminal whereas  $\beta$  can consist of any combination of terminals or non-terminals.

A production specifies that string  $\alpha$  can be transformed into string  $\beta$ .

4.  $S$ : The starting symbol is a special non-terminal that begins the generation of any sentences in the language.

SENTENCE is the starting symbol for  $V_N = \{S, L, D\}$ .

$S = \{S\}$  is the starting symbol.

Def If  $G$  is a phrase-structure Grammar,  $L(G)$  is the set of strings that can be obtained by starting with  $S$  and applying the production rules, a finite no. of times until non-terminal characters remain.

Direct Derivation and Directly Derivable

Let  $G = (V_N, V_T, S, P)$  be a grammar.

If  $\alpha \rightarrow \beta$  is a production and  $x, y \in (V_N \cup V_T)^+$ .

We say that  $x\beta y$  is the direct-derivation of  $x\alpha y$  (or)  $x\beta y$  is directly derivable from  $x\alpha y$  (or)  $x\alpha y$  directly derives  $x\beta y$  in  $G$ , and we write

$$x\alpha y \Rightarrow x\beta y \text{ if } \alpha_i \in (V_N \cup V_T)^+ \text{ and } \alpha_i \Rightarrow \alpha_{i+1} \text{ for } i=1, 2, 3, \dots, r$$

We say that  $\alpha_i$  derives  $\alpha_r$  in  $G$  and write

$$\alpha_1 \Rightarrow \alpha_2 \Rightarrow \dots \Rightarrow \alpha_{r-1} \Rightarrow \alpha_r \rightarrow (1)$$

Thus,  $\alpha_1$  derives  $\alpha_r$  in  $G$  if  $\alpha_r$  is producible from  $\alpha_1$  by employing finite no. of productions from  $G$ .

An expression of the form (1) is called a derivation in  $G$  and it can be abbreviated into the expression.

$$\alpha_1 \stackrel{*}{\Rightarrow} \alpha_r \quad (V_N \cup V_T)^+$$

Since, note that the relation  $\stackrel{*}{\Rightarrow}$  is the transitive closure of the relation  $\Rightarrow$ .

Sentential Form:

A sentential form is any derivation of the unique non-terminals.

(6)

Language

The language L generated by a Grammar G is the set of all sentences forms, whose symbols are terminals.

$$L(G) = \{ \sigma / s \Rightarrow \sigma \text{ and } \sigma \in V_T^* \}$$

EX: 1

Q. 1

The language  $L(G_3) = \{ a^n b^n c^n / n \geq 1 \}$  is generated by the grammar

$$G_3 = \langle \{ S, B, C \}, \{ a, b, c \}, S, \Phi \rangle \text{ where } \Phi \text{ consists of}$$

the productions.

$$S \rightarrow a S B C$$

$$S \rightarrow a B C$$

$$C B \rightarrow B C$$

$$a B \rightarrow a b$$

$$b B \rightarrow b b$$

$$b C \rightarrow b c$$

$$c C \rightarrow c c$$

The following is a derivation for the string  $a^2 b^2 c^2$

$$S \Rightarrow a S B C$$

$$\Rightarrow a a \overline{B C} B C$$

$$\Rightarrow a a B B C C$$

$$\Rightarrow a a \overline{b B C C}$$

$$\Rightarrow a a b b C C$$

$$\Rightarrow a a b b \overline{c C}$$

$$\Rightarrow a a b b c c$$

In general  $L(G_3) = \{ a^n b^n c^n / n \geq 1 \}$

①

Problem

1. The language  $L(G_4) = \{a^n b a^n / n \geq 1\}$  is generated by the grammar  $G_4 = (\{S, C\}, \{a, b\}, S, \varnothing)$  when  $\varnothing$  is the set of production.

Proof

$$S \rightarrow a C a$$

$$C \rightarrow a C a$$

$$C \rightarrow b$$

A derivation for  $a^2 b a^2$  consists of the following steps.

$$S \Rightarrow a C a$$

$$\Rightarrow a a C a$$

$$\Rightarrow a a b a a$$

$$\Rightarrow a^2 b a^2$$

In general  $L(G) = \{a^n b a^n / n \geq 1\}$ .

2. The language  $L(G_7) = \{a^n b a^m / n, m \geq 1\}$  is generated by the grammar.

$$G_7 = (\{S, A, B, C\}, \{a, b\}, S, \varnothing)$$

when the set of production is,

$$S \rightarrow a S$$

$$S \rightarrow a B$$

$$B \rightarrow b C$$

$$C \rightarrow a C$$

$$C \rightarrow a$$

The sentence  $a^2 b a^3$  has the following derivation.

$$S \Rightarrow a S$$

$$\Rightarrow a^2 a B$$

$$\Rightarrow a a b C$$

$$\Rightarrow a a b a C$$

Q. Let  $G = (\{E, T, F\}, \{a, +, \cdot, (, )\}, E, P)$   
 where  $P$  consists of the productions.

$$E \rightarrow E + T$$

$$E \rightarrow T$$

$$T \rightarrow T * F$$

$$T \rightarrow F$$

$$F \rightarrow (E)$$

$$F \rightarrow a$$

Where the variables  $E, T$  and  $F$  represent the names  
 "expression", "term" and "factor" commonly used in conjunction  
 with arithmetic expressions.

A derivation for the expression  $a * a + a$  is

$$E \Rightarrow E + T$$

$$\Rightarrow T + T$$

$$\Rightarrow T * F + T$$

$$\Rightarrow F * F + T$$

$$\Rightarrow a * F + T$$

$$\Rightarrow a * a + T$$

$$\Rightarrow a * a + F$$

$$\Rightarrow a * a + a$$

4. Construct the grammar for the language,

$$L(G) = \{a^4, aabb, bbaa, bbbb\}$$

Soln: Since  $L(G)$  has a finite number of strings,

we can simply list all strings in the language.

Let  $V_T = \{a, b\}$ ,  $V_N = \{S\}$  and  $S$  be the starting symbol.

$P$  be the set of productions.

$\therefore G = \{V_N, V_T, S, P\}$  is a Grammar with the set  
 of productions,  $S \rightarrow aaaa, S \rightarrow aabb, S \rightarrow bbaa, S \rightarrow bbbb$ .



construct the grammar for the language  $L(a^n b^n)$  or

$L(a^n b^n) = \{ a^n b^n \mid n \geq 1 \}$

Let  $V_T = \{a, b\}$ ,  $V_N = \{S\}$  and  $S$  be the starting symbol and  $P$  be the set of productions given by

$S \rightarrow a^i b^i, S \rightarrow a b b$

For ex, If  $i = 3$ , then we obtain the string

$aaa bbbbbb = a^3 b^6$  as follows.

$S \Rightarrow a^3 b b \Rightarrow a a^2 b b b b b b$   
 $\Rightarrow a a a b b b b b b b$   
 $\Rightarrow a^3 b^6$

$\therefore G = (\{a, b\}, \{S\}, S, P)$  is the grammar for the given language.

Def: The language  $L(G)$  generated by phase-structure grammar (PSG) is called phase-structure language (PSL).

Types of Grammars:

Let  $V_T = \{a, b\}$  where  $a, b$  are arbitrary.

$V_N = \{A, B\}$  where  $A, B$  are arbitrary.

and  $(\alpha, \beta)$  are arbitrary strings of terminals and non-terminals.

(i) Type-0 grammar:

A phase-structure grammar with no restrictions is called a Type-0 Grammar.

A language that can be defined by a Type-0 Grammar is called

"Type-0 language".

(ii) Type-1 Grammar (or) Context Sensitive Grammar (CSG)

- \* A grammar  $G$  is context-sensitive or type 1 grammar if each production  $\alpha \rightarrow \beta$  in  $G$  satisfies the condition  $|\alpha| \leq |\beta|$ , the length of  $\alpha$  is less than or equal to the length of  $\beta$ ,  $\alpha \rightarrow \beta$  with  $|\alpha| \leq |\beta|$
- \* The restriction on a productions of a context-sensitive grammar can be equivalently stated as follows:  
 $\alpha$  and  $\beta$  in the production  $\alpha \rightarrow \beta$  can be expressed as  $\alpha = \phi_1 A \phi_2$  and  $\beta = \phi_1 \psi \phi_2$  ( $\phi_1$  and/or  $\phi_2$  are possibly empty, where  $\psi$  must be non-empty).

\* The meaning of "context-sensitive" becomes clearer with this reformulation.

\* The application of the production  $\phi_1 A \phi_2 \rightarrow \phi_1 \psi \phi_2$  to a sentential form means that  $A$  is rewritten as  $\psi$  in the context  $\phi_1$  and  $\phi_2$ .

\* Context-sensitive grammars are said to generate context-sensitive languages.

Ex: 1

$A \rightarrow ab, A \rightarrow aA, aAb \rightarrow aBcb$

Ex: 2

$G_3 = (\{S, B, C\}, \{a, b, c\}, S, \phi)$

where  $\phi$  consists of productions.

- $S \rightarrow aSBC$
- $S \rightarrow aBC$
- $CB \rightarrow BC$
- $aB \rightarrow ab$
- $bB \rightarrow bb$
- $bC \rightarrow bc$
- $cC \rightarrow cc$

(iii) Type-2 Grammar (or) Context free Grammar (CFG)

\* A grammar  $G$  is context free or type 2 grammar if each production  $\alpha \rightarrow \beta$  in  $G$  satisfies the condition  $\alpha \in V_N$  and  $|\alpha| \leq |\beta|$ .

\* In this Grammar every production is of the form  $A \rightarrow \alpha$ . In other words in any production the left hand string is always a single non-terminal (capital letter).

Ex: The language  $L(G) = \{a^k b^k \mid k \geq 1\}$

is a type-2 language because it can be specified by the type-2 Grammar.

$A \rightarrow aAB, A \rightarrow ab, B \rightarrow b$ .

i.  $A \Rightarrow aAB$  (for ex.  $a^2 b^2, k=2$ )  
 $\Rightarrow aabB$   
 $\Rightarrow aabb$   
 $\Rightarrow a^2 b^2$ .

(iv) Type-3 Grammar (or) Regular Grammar (RG) (or)

Right Linear Grammar

\* A grammar  $G$  is called a regular or type 3 grammar if each production  $\alpha \rightarrow \beta$  satisfies the condition  $\alpha$  is a single non-terminal symbol, i.e.,  $\alpha \in V_N$  and  $\beta$  is the single terminal or a terminal followed by a non-terminal.

i.  $\beta = aB$  when  $a \in V_T$  and  $B \in V_N$ .

\* A Grammar is said to be a type-3 Grammar if all productions in the Grammar are of the forms  $A \rightarrow a, A \rightarrow aB$ . In other words, in any production the left hand string is always a single non-terminal and the right hand string is either a terminal (or) Non-terminal followed by a terminal.

Ex Construct the Grammar for the language

$L(G) = \{a^n b a^m \mid n, m \geq 1\}$

Let  $V_N = \{S, A, B, C\}$ ,  $V_T = \{a, b\}$  and  $S$  be the starting symbol.  $P$  be the set of productions given by  
 $S \rightarrow aS$ ,  $S \rightarrow aB$ ,  $B \rightarrow bC$ ,  $C \rightarrow aC$ ,  $C \rightarrow a$  for  $a^1 b a^3$ .

$S \Rightarrow aS \Rightarrow aaS \Rightarrow aabC$   
 $\Rightarrow aabaC$   
 $\Rightarrow aabaaC$   
 $\Rightarrow aabaaa$   
 $\Rightarrow a^2 b a^3$

Clearly this Grammar  $G = (V_N, V_T, S, P)$  is a regular grammar and the language  $L(G) = \{a^n b a^m \mid n, m \geq 1\}$  is a regular language.

Def  
1. Corresponding to different types of Grammars, there are different types of languages. Thus a language is said to be a type- $i$  ( $i = 0, 1, 2, 3$ ) language if it can be generated by a type- $i$  Grammar but it cannot be specified by a type- $(i+1)$  Grammar.

2. A type-3 Grammar  $\subseteq$  type-2 Grammar  $\subseteq$  type-1 Grammar  $\subseteq$  type-0 Grammar. (or)

Type-3  $\Rightarrow$  type-2  $\Rightarrow$  type-1  $\Rightarrow$  type-0 Grammar.

3. A CFL may or maynot contain  $\lambda$ . If a CFL does not contain  $\lambda$  then a CFG can be found such that there is no rule of the form  $\alpha \rightarrow \lambda$ . such a CFL is called "a  $\lambda$ -free CFL" (or) "a pure CFL". On the otherhand, if a CFL contains  $\lambda$  then a CFG can be found such that the only rule containing  $\lambda$  is  $S \rightarrow \lambda$ .

Finite State Language (or) Regular set

The set of all strings  $x$  accepted by  $M$  is denoted by

$$T(M) = \{x / f(s_0, x) \in S_c, x \in I^*\}$$

It is called the language accepted or recognized by  $M$  as this set of strings  $x$  is called a "finite state language" (or) "a regular set".

State Diagram (or) Transition Diagram:

The pictorial method of specifying the finite state machine is called "state diagram" (or) "Transition Diagram".

Notes:

- (i) digraph - graph with correct direction.
- (ii)  $S_1, S_2, \dots, S_n = S_c \subseteq S$  ( $\because S_1 \subseteq S, S_2 \subseteq S, \dots, S_n \subseteq S \Rightarrow S_c \subseteq S$ )

Formal Defn:

Let  $M = (S, I, F, S_c, S_0)$  be a finite state automata.

The state diagram of  $M$  is a digraph  $G$  whose vertices are the members of  $S$ . An arrow designates the initial state  $S_0$ .

A directed edge  $(S_1, S_2)$  exists in  $G$  if there exists an input  $i$  with  $f(S_1, i) = S_2$ .

Accepting  $i$  with  $f(S_1, i) = S_2$ .

Accepting states (Final states) are marked by double circle.

Ex: 3

Notation and meaning

- 1. The meaning of  $f(s_i, a) = s_j$  is, when  $M$  is in state  $s_i$ , reading the input symbol,  $a$ , it can move one cell to right and gets the state  $s_j$ .
- 2. The meaning of  $f(s_i, \epsilon) = s_i$  is that when no input symbol read, the machine does not change its state.
- 3. The interpretation of  $f(s_i, x) = s_j$  is that the machine starting from state  $s_i$ , read the strings  $x$  on the input tape from left to right and reaches the state  $s_j$ .

Language Accepted (or) Recognised by the Automata:

A word (or string or sentence or tape) is said to be accepted if the automata starts from the initial state and enters a final state after reading the word, one letter at a time from left to right. The set of strings accepted by the automata is called the "language accepted" (or) "recognised by the automata".

Defn

Let  $M = \langle S, I, F, \delta, s_0 \rangle$  be a finite state automata

A non-null string  $a = x_1 x_2 \dots x_n$  is said to be accepted by

if

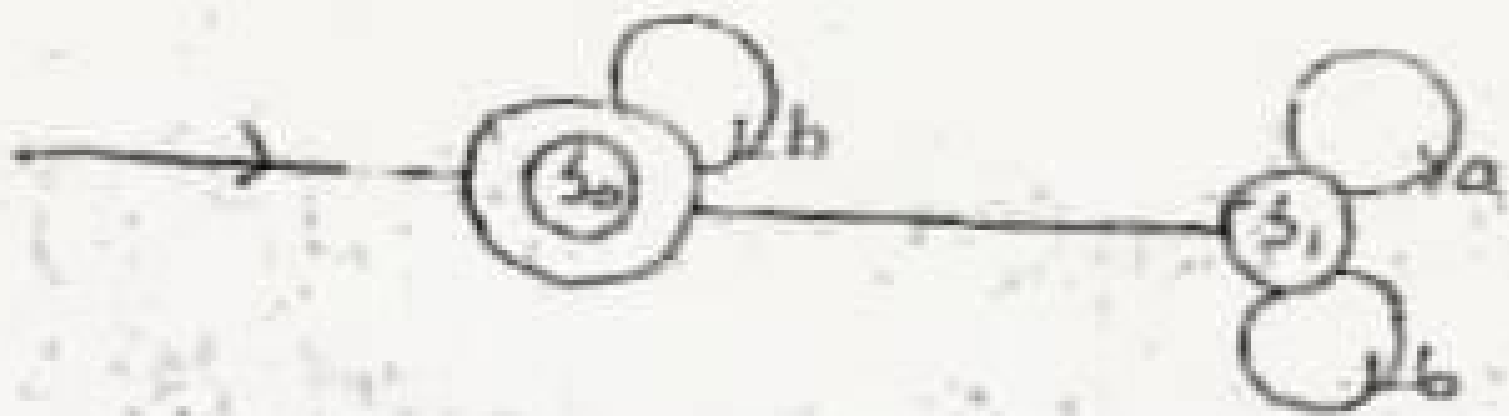
(i)  $s_0$  is the initial state,

(ii)  $f(s_{l-1}, a) = s_l$   $l = 1, 2, \dots, n$ .

(iii)  $s_n$  be an accepted state.

(15)

Here the  $s_0$  is the initial state and the only accepting state. The final state automata is defined by



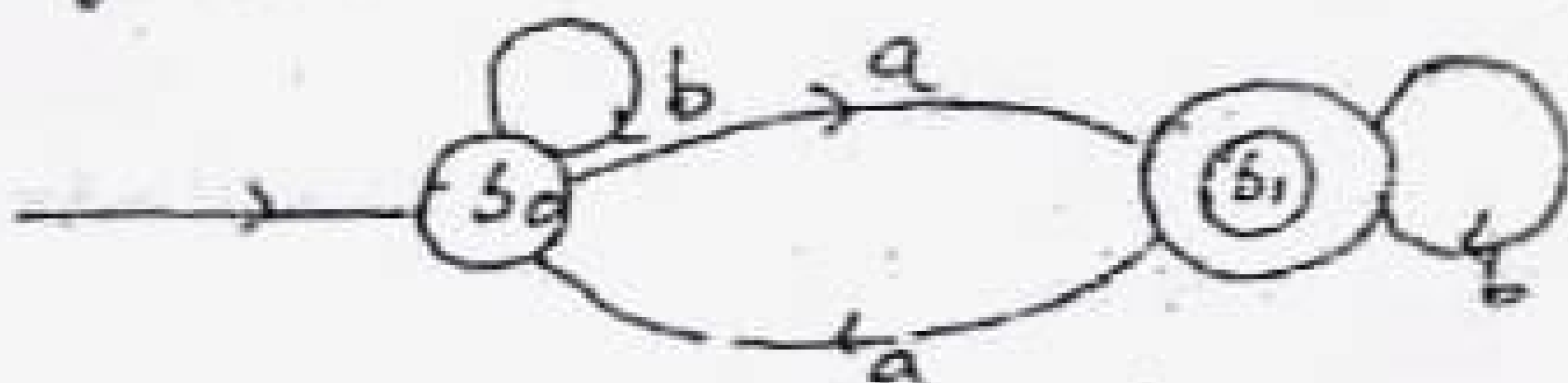
Ex 4

Design a Finite state Automata that accepts precisely those strings over  $\{a, b\}$  that contain an odd no. of 'a's

Soln

Let us assume that the state

Here the  $s_0$  is the initial state and  $s_1$  is the accepting state. We obtain the transition diagram as



Defn (Machine congruence):

Let  $M = (S, I, f, s_0, s_f)$  be a finite state automaton. Suppose that  $R$  is an equivalence relation on  $S$ . We say that  $R$  is a machine congruence on  $M$  if for any  $s, t \in S$ .

$$s R t \Rightarrow f(x, s) R f(t, s) \quad (or)$$

$$f_x(s) = R \dots f_t(s) \text{ for all } x \in I.$$

note: We know that the set of all finite strings  $I^*$  of the alphabet  $I$  is a free monoid under the binary operation concatenation with  $\epsilon$  as its identity. Also,  $S^S$  is a monoid, which consists of all functions from  $S$  to  $S$  and which has the function composition as

as the binary operation, the identity in  $S^*$  is the function  $I_S$  denoted by  $I_S(s) = s$  for all  $s \in S$ .

State transition function corresponding to  $w$

If  $w = x_1, x_2, \dots, x_n \in I^*$ , we let

$$f_w = f_{x_n} \circ f_{x_{n-1}} \circ \dots \circ f_{x_2} \circ f_{x_1}$$

of the function  $f_{x_n}, f_{x_{n-1}}, \dots, f_{x_1}$ .

Also, define  $f_n(s) = I_S(s)$ , for all  $s \in S$ .

In this way we assign an element  $f_w$  of  $S^*$  to each element of  $I^*$ .

If we think of each  $f_x$  as the "effect" of the input

$x$  on the state of the machine  $M$ , then  $f_w$  represents the combined effect of all the input letters in the word  $w$  received in the sequence specified by  $w$ .

We call  $f_w$  "the transition function corresponding to  $w$ ".

Ex: 5 Let  $M = (S, I, F, s_i, s_o)$  be a finite state machine where  $S = \{s_0, s_1, s_2\}$ ,  $I = \{0, 1\}$  and  $f$  is given by the following state transition table.

State	Inputs	
	0	1
$s_0$	$s_0$	$s_1$
$s_1$	$s_2$	$s_2$
$s_2$	$s_1$	$s_0$



(17)

Soln

$$f(0, s_0) = f_0(s_0) = s_0$$

$$f(1, s_0) = f_1(s_0) = s_1$$

$$f(0, s_1) = f_0(s_1) = s_2$$

$$f(1, s_1) = f_1(s_1) = s_0$$

$$f(0, s_2) = f_0(s_2) = s_1$$

$$f(1, s_2) = f_1(s_2) = s_0$$

Let  $w = 011 \in I^*$  then

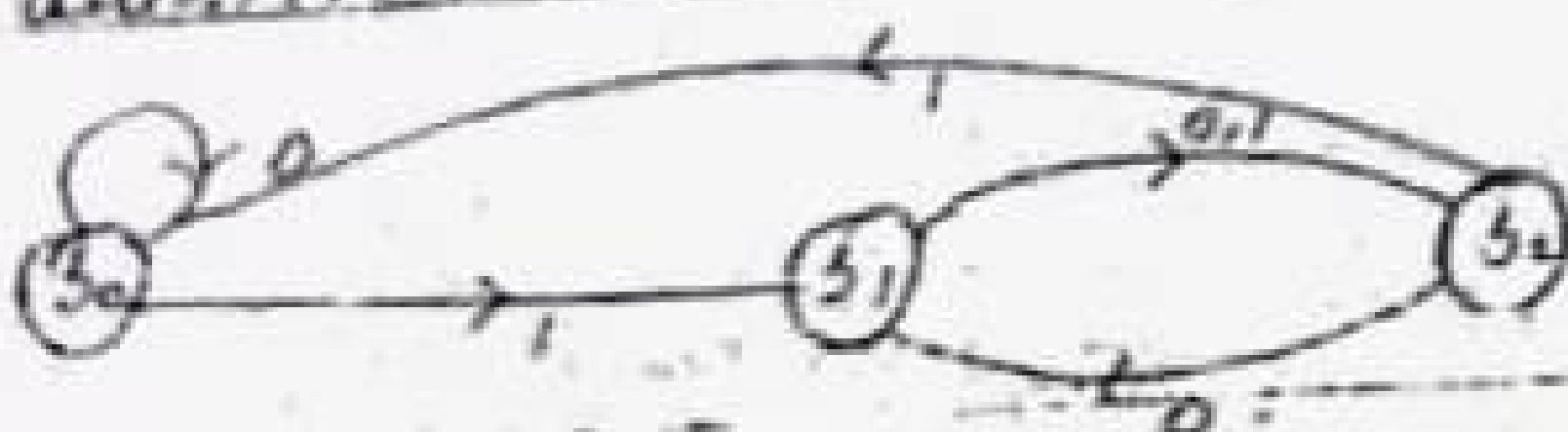
$$\begin{aligned}
 f_w(s_0) &= f_{011}(s_0) = (f_1 \circ f_1 \circ f_0)(s_0) \\
 &= (f_1 \circ f_1 \circ f_0(s_0)) \\
 &= f_1 \circ f_1 \circ (s_0) \\
 &= f_1 \circ (s_1) \\
 &= s_2.
 \end{aligned}$$

Similarly,

$$\begin{aligned}
 f_w(s_1) &= (f_1 \circ f_1 \circ f_0)(s_1) \\
 &= (f_1 \circ f_1 \circ (s_2)) \\
 &= f_1 \circ (s_0) \\
 &= s_1.
 \end{aligned}$$

$$\begin{aligned}
 f_w(s_2) &= f_{011}(s_2) = f_1 \circ f_1 \circ f_0(s_2) \\
 &= f_1 \circ f_1 \circ (s_1) \\
 &= f_1 \circ (s_2) \\
 &= s_0.
 \end{aligned}$$

Ex: 6  
 VQ Let  $M(S, I, f, s_0, \delta_0)$  be a finite state machine whose transition or state diagram is given by



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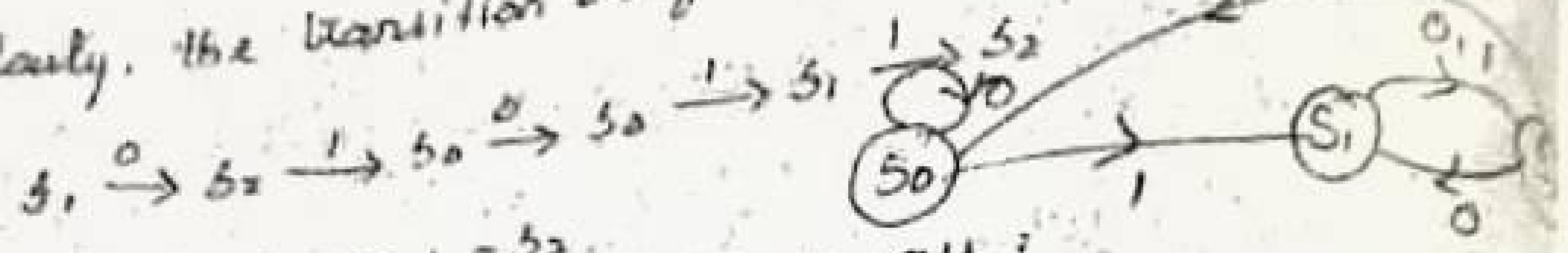
Solu: let us compute  $f_w$  where  $w = 01011$

The successive transition of  $s_0$  are

$$s_0 \xrightarrow{0} s_0 \xrightarrow{1} s_1 \xrightarrow{0} s_2 \xrightarrow{1} s_0 \xrightarrow{1} s_1$$

$$\therefore f_w(s_0) = f_{01011}(s_0) = s_1$$

Similarly, the transition diagram of  $s_1$  are



$$\therefore f_w(s_1) = s_2$$

The successive transition of  $s_2$  are:

$$s_2 \xrightarrow{0} s_1 \xrightarrow{1} s_2 \xrightarrow{0} s_1 \xrightarrow{1} s_2 \xrightarrow{1} s_0$$

$$f_w(s_2) = s_0$$

Defn Monoid of the machine  $M$ :

Let  $M = (S, I, f, b_i, s_0)$  be a FSM.

We define a homomorphism  $T: I^* \rightarrow S^S$

As  $I^*$  and  $S^S$  are monoids.

$T(I^*) = K$  is a submonoid of  $S^S$ .

This monoid  $T(I^*) = K$  is called the monoid of the machine  $M$ .

note:

- Every Finite state machine has a monoid associated with it. For any FSM, the element of its associated monoid corresponds to certain input sequences. Because only a finite no. of combination of states and it is possible for a FSM, there is only a finite no. of input sequences that summarize the machine.

Q. Any finite monoid  $(M, *)$  can be represented in the form of a FSM with input and state sets equal to  $M$ . Machine of this type are called "state machine".

Soln:

If  $(M, *)$  is a finite monoid, then the machine of the monoid  $(M, *)$  denoted by  $M(M)$  is the state machine with state set  $M$  input set  $M$  and next state function  $t: M \times M \rightarrow M$  defined by  $t(s, x) = s * x$ .

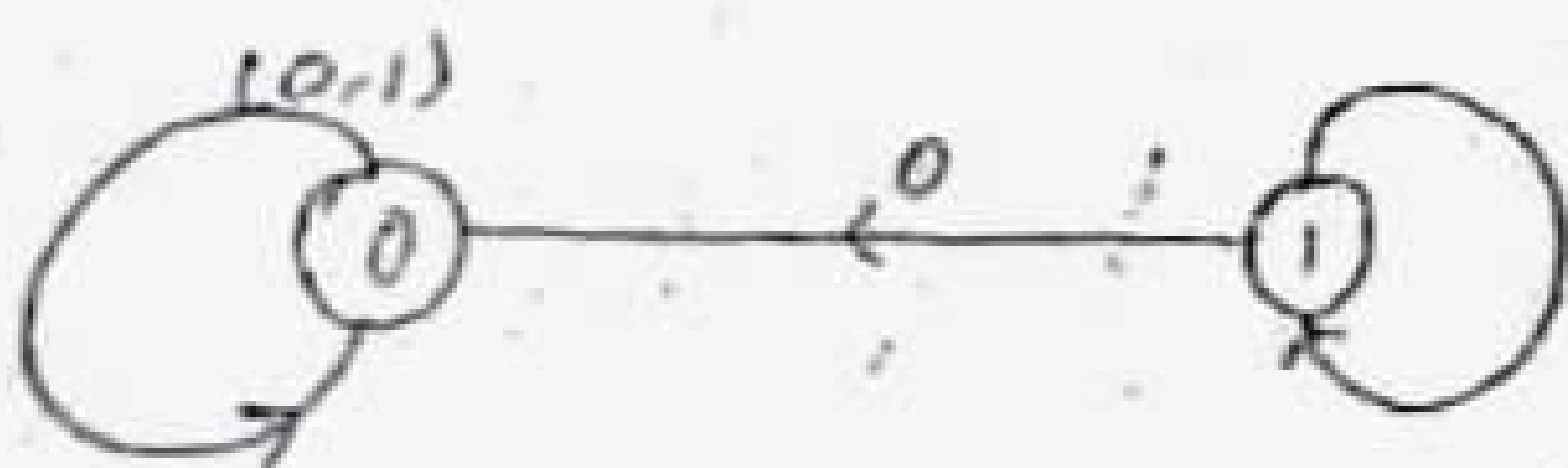
Ex: 7

Let  $(Z_2, \times_2)$  be a monoid. The machine of this monoid  $Z_2$  denoted by  $M(Z_2)$  is the state machine with state set  $\{0, 1\}$  input set  $\{0, 1\}$  and next state function:

$t: Z_2 \times Z_2 \rightarrow Z_2$  defined by  $t(s, x) = s \times_2 x$

Soln:

The transition diagram of the machine  $M(Z_2)$  is



Non-Deterministic Finite State Automata; (NFA)

A generalization DFA called a non-deterministic finite state automata (NFA) which despite its reputation for super natural behaviour also prove to be a valuable tool for pattern recogn.

A NFA is usually much easier to design than the corresponding DFA. In NFA, one state is distinguished as an initial state, one or more are distinguished as accepting states and there are labelled, directed edges connecting the states.

A string is accepted by the NFA iff there is a path from the initial state to one of the accepting states, such that the edges label on the path generate the string.

Defn :

A Non-Deterministic Finite State Automata: (NFA)

$M$  is,  $M = (S, I, A, f, s_0)$  where

- (i)  $S$ : a finite non-empty set of states.
- (ii)  $I$ : a finite non-empty set of input symbols.
- (iii)  $A$ : a subset of  $S$  of accepting states.
- (iv)  $f$ : a mapping from  $S \times I$  to the finite subset of  $S$ .
- (v)  $s_0$ : The initial state and  $s_0 \in A$ .

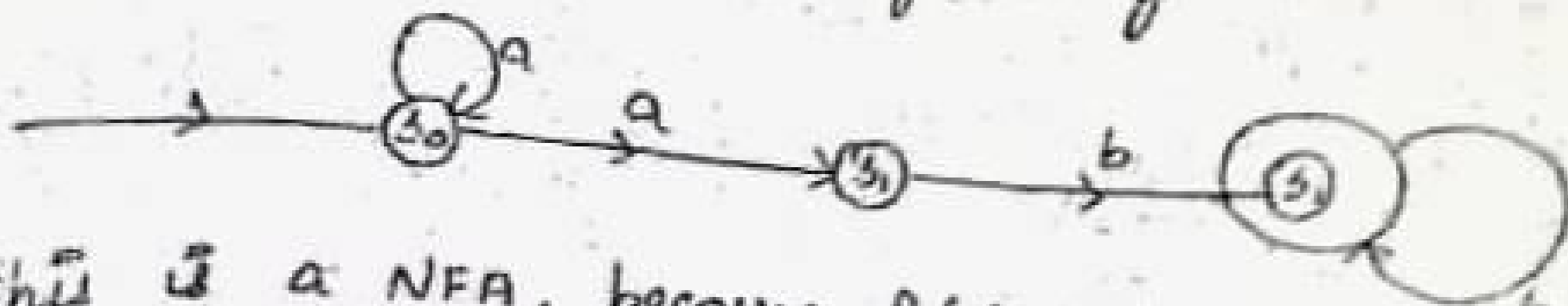
Note  $\uparrow$

The main difference between the DFA and NFA is that in the DFA,  $f(s_i, a)$  is a single state, while in NFA  $f(s_i, a)$  may consist of a set of states (possibly empty).

$f(s_i, a) = \{s_1, s_2, \dots, s_k\}$  means that when in the state  $s_i$ , reading the input "a" on the input tape, it can move to any one of the states  $s_1, s_2, \dots$  as the next state and start reading the input symbol to the input of "a".

Let  $M = (S, I, A, f, S_0)$  be a NFA where  
 $S = \{S_0, S_1, S_2\}$ ,  $I = \{a, b\}$  and  $S_0$  is the initial state  
 $f(S_0, a) = \{S_0, S_1\}$ ,  $f(S_1, a) = \emptyset$ ,  
 $f(S_0, b) = \emptyset$ ,  $f(S_2, a) = \emptyset$ ,  $f(S_2, b) = \{S_2\}$

Its transition diagram is given by



this is a NFA, because  $f(S_0, a)$  can be either  $S_0$  or  $S_1$ .

note:

DFA and NFA represent the type-3 or regular language.

ex: 9.11

consider a regular Grammar

$G = \{V_T, V_N, S, P\}$  where  $V_N = \{S, A\}$ ,  $V_T = \{a, b\} = I$

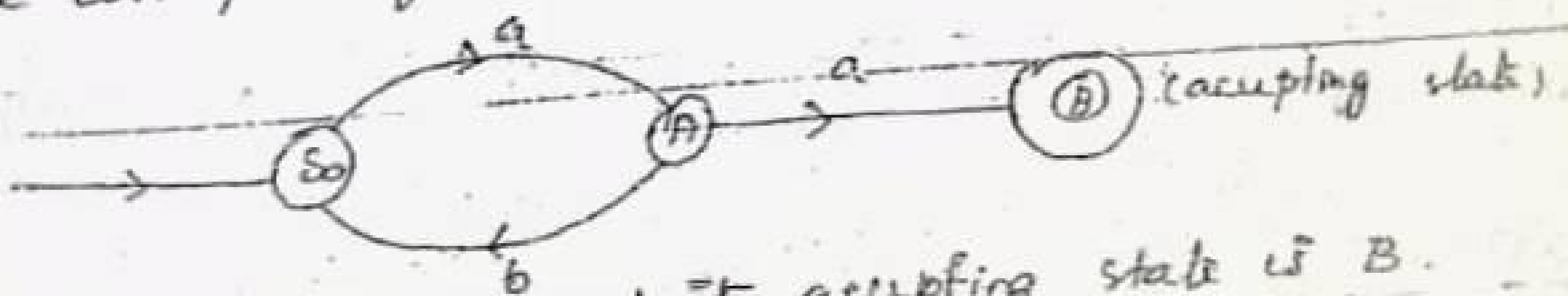
and the set of productions  $P = \{S \rightarrow aA, A \rightarrow aA, A \rightarrow bS, A \rightarrow \epsilon\}$

The corresponding automata is  $M = (S, I, A, f, S_0)$

where  $S = \{S_0, A, B\}$ .  $f$  is defined as follows.

$f(S_0, a) = A$ ,  $f(A, a) = \{A, B\}$ ,  $f(A, b) = S_0$

The corresponding transition diagram is



clearly  $M$  is NFA and its accepting state is  $B$ .

$L(G) = T(M)$   
 (8) 69

note

Two automata  $M, M'$  are said to be equal if  $T(M) = T(M')$ , i.e. if they accept exactly the same language.

ex: 10

(i) Draw the transition diagram of the NFA  $M = \{S, I, A, F, S_0\}$  where  $I = \{a, b\}$   $S = \{S_0, S_1, S_2\}$   $A = \{S_1, S_2\}$  with initial state  $S_0$  and next state function

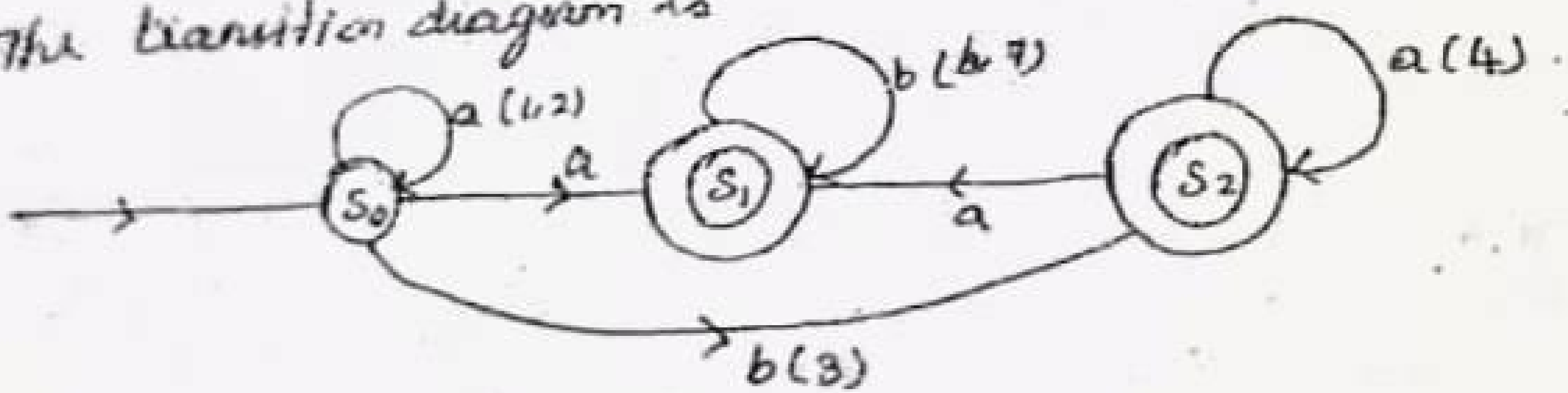
State	Input	
	a	b
$S_0$	$\{S_0, S_1\}$	$\{S_2\}$
$S_1$	$\phi$	$\{S_1\}$
$S_2$	$\{S_1, S_2\}$	$\phi$

(ii) Is the string  $\alpha = aabaabb$  accepted by the NFA.

soln

(i) Here  $S_0$  is the initial state and  $S_1, S_2$  are accepting states.

The transition diagram is



The path  $(S_0, S_0, S_0, S_2, S_2, S_1, S_1, S_1)$  represents a string  $\alpha = aabaabb$ . Since the final states  $S_1$  are accepting states, the string  $\alpha$  is accepted by the above NFA.

Procedure for converting Non-deterministic Finite state automata (NFA) to deterministic Finite state automata (DFA). (23)

Given NFA,

$M = (S, I, A, f, s_0)$  where

$I$ : Set of input-symbols

$S$ : a finite set of states

$A$ : a subset of  $S$ , consisting the accepting state.

$s_0$ : initial state

$f$ : the next state function.

Construct the corresponding deterministic Finite state automata (DFA).

$$M' = (S', I, A', f', s_0')$$

(i) Here the input  $I$  is unchanged

(ii) The state  $S'$  consisting of all subsets of the original set  $S$ . i.e.,  $S' = (P(S) = \text{power set of } S)$ .

(iii) The initial state is  $s_0' = s_0$ .

(iv) The accepting ( $A'$ ) states are all subsets of  $S$  that contain an accepting state of the original NFA.

$$i.e., A' = \{ X \subseteq A \mid X \cap A \neq \emptyset \}$$

(v) The next state function  $f'$  is defined by

$$f'(X, x) = \begin{cases} \emptyset & \text{if } x = \emptyset \\ \bigcup_{s \in X} f(s, x) & \text{if } x \neq \emptyset \end{cases}$$

(vi) Draw the transition diagram of  $M'$  and by deleting states which can never be reached we can obtain the simplified

DFA ( $M'$ ) equivalent to the given NFA.

Theorem 1.1

(24)

The above procedure can also be stated as

"Let  $L$  be accepted by a NFA. Then there exists a DFA that accepts  $L$ ".

Proof:

Step 10

Step 11

Construct a deterministic finite state automata (DFA) equivalent to a given non-deterministic finite state automata (NFA).

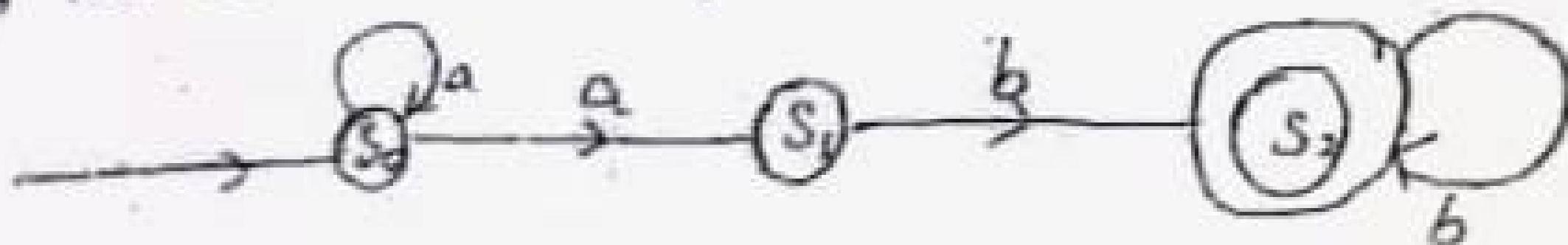
Let  $M = (S, I, A, f, s_0)$  is a NFA

where  $S = \{s_0, s_1, s_2\}$   $I = \{a, b\}$   $s_0$  is the initial state and  $s_2$  is the accepting state. The next state function

$f$  is defined by,

$f$	$a$	$b$
$s_0$	$\{s_0, s_1\}$	$\emptyset$
$s_1$	$\emptyset$	$\{s_2\}$
$s_2$	$\emptyset$	$\{s_2\}$

And its transition diagram is given by



Soln: construct a deterministic finite state automata (DFA)

$M' = (S', I, A', f', s_0')$  where

$$S' = \{ \{s_0\}, \{s_1\}, \{s_2\}, \{s_0, s_1\}, \{s_0, s_2\}, \{s_1, s_2\}, \{s_0, s_1, s_2\}, \emptyset \}$$

= collection of all subsets of  $S$ .

$$I = \{a, b\}, \quad s_0' = \{s_0\}$$

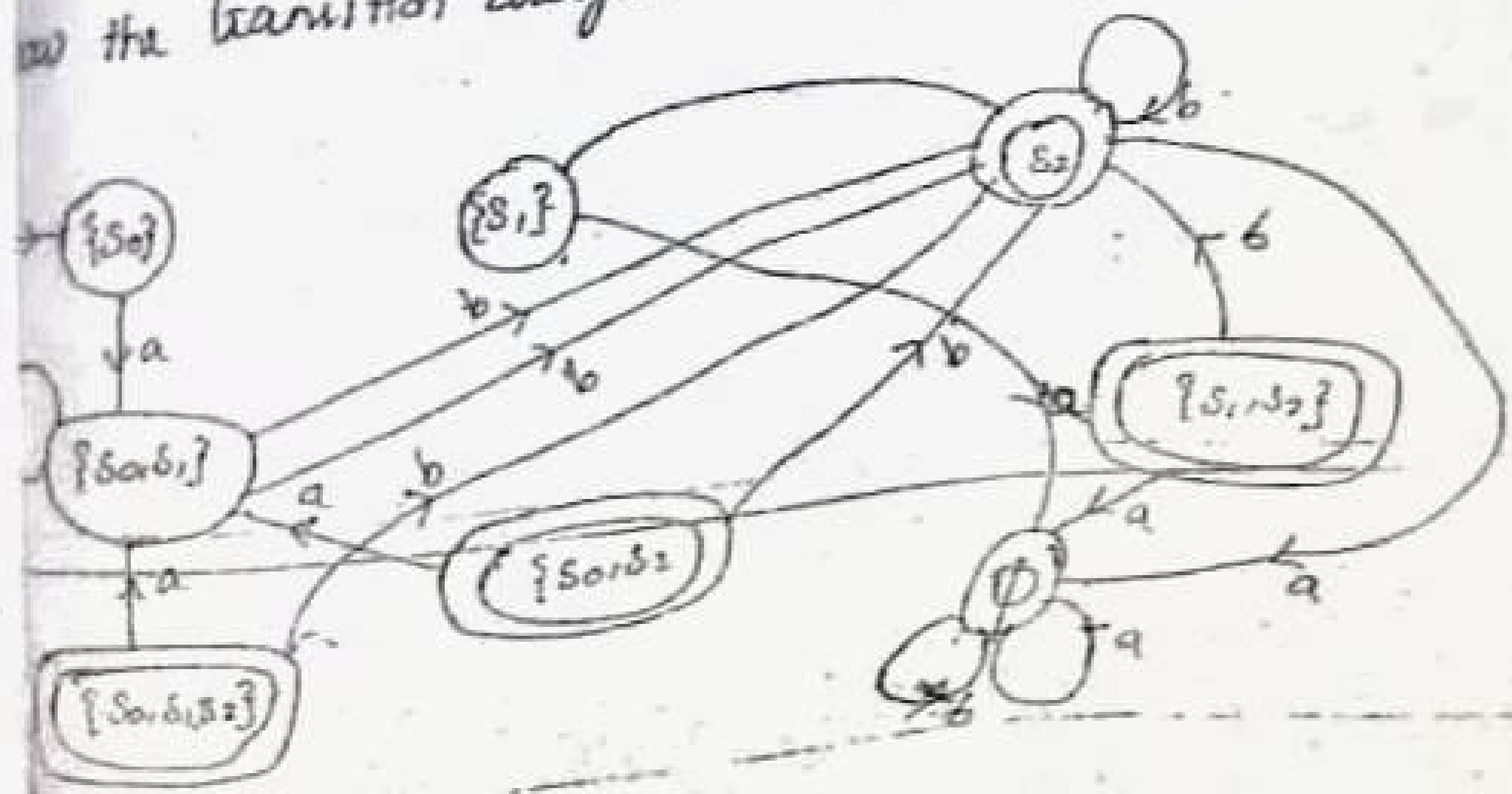


$A' = \{ \{s_0\}, \{s_0, s_1\}, \{s_0, s_2\}, \{s_1, s_2\}, \{s_0, s_1, s_2\} \}$   
 = collection of subsets of  $A$  that contain an all of  
 state of the original NFA  
 the next state function  $f'$  is defined by

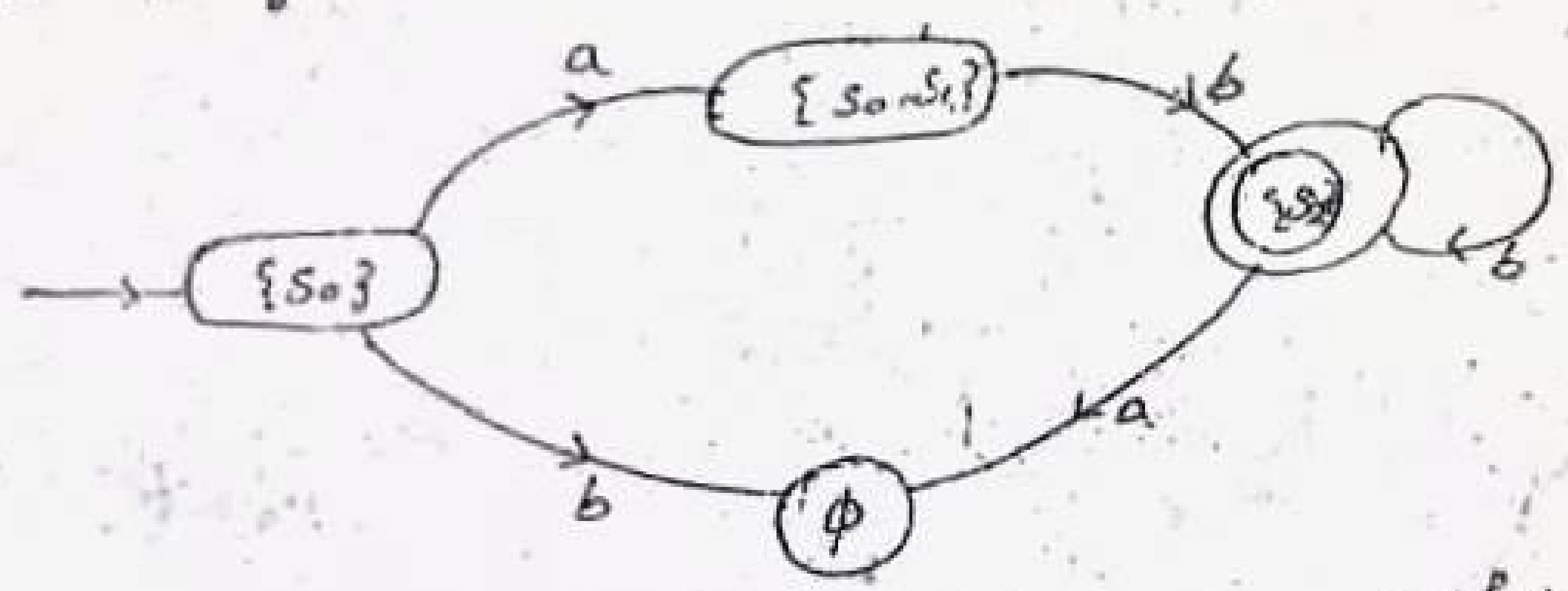
$f'$	a	b
$\{s_0\}$	$\{s_0, s_1\}$	$\emptyset$
$\{s_1\}$	$\emptyset$	$\{s_2\}$
$\{s_2\}$	$\emptyset$	$\{s_2\}$
$\{s_0, s_1\}$	$\{s_0, s_1\}$	$\{s_2\}$
$\{s_0, s_2\}$	$\{s_0, s_1\}$	$\{s_2\}$
$\{s_1, s_2\}$	$\emptyset$	$\{s_2\}$
$\{s_0, s_1, s_2\}$	$\{s_0, s_1\}$	$\{s_2\}$
$\emptyset$	$\emptyset$	$\emptyset$

$$f(x, a) = \begin{cases} \emptyset & \text{if } x = \emptyset \\ \cup_{s \in x} f(s, a) & \text{if } x \neq \emptyset \end{cases}$$

the transition diagram is given by,



Here the states  $\{s_0, s_1, s_2, \dots\}$  which can never be reached can be deleted (except  $s_0$  initial state). Then we obtain the simplified equivalent deterministic finite state automata (DFA)  $M'$  corresponding to the given non-deterministic finite state automata  $M$ .



Theorem 2

Show that every regular set is accepted by a DFA.

Proof

Let  $L(G)$  be a regular language generated by a regular grammar  $G = (V_N, V_T, P, S)$ . We shall define a finite state automata  $M$  to accept  $L(G)$ .

Assume that there is no rule of the form  $A \rightarrow B$  where  $A, B \in V_N$  in  $G$ .

Let  $M = (K, I, F, f, s_0)$  where  $K$  is a finite non-empty set of states &  $F \subseteq K$  is the set of final states.

Define  $K = V_N \cup \{q\}$   $q \notin V_N, s_0 = S$ .

$F = \{q\} \cup \{A \mid A \in V_N \& A \rightarrow \lambda \text{ is in } P\}$  and

$f$  as follows.

If  $A \rightarrow aB$  is a rule in  $P$ ,  $f(A, a)$  contains  $B$ .

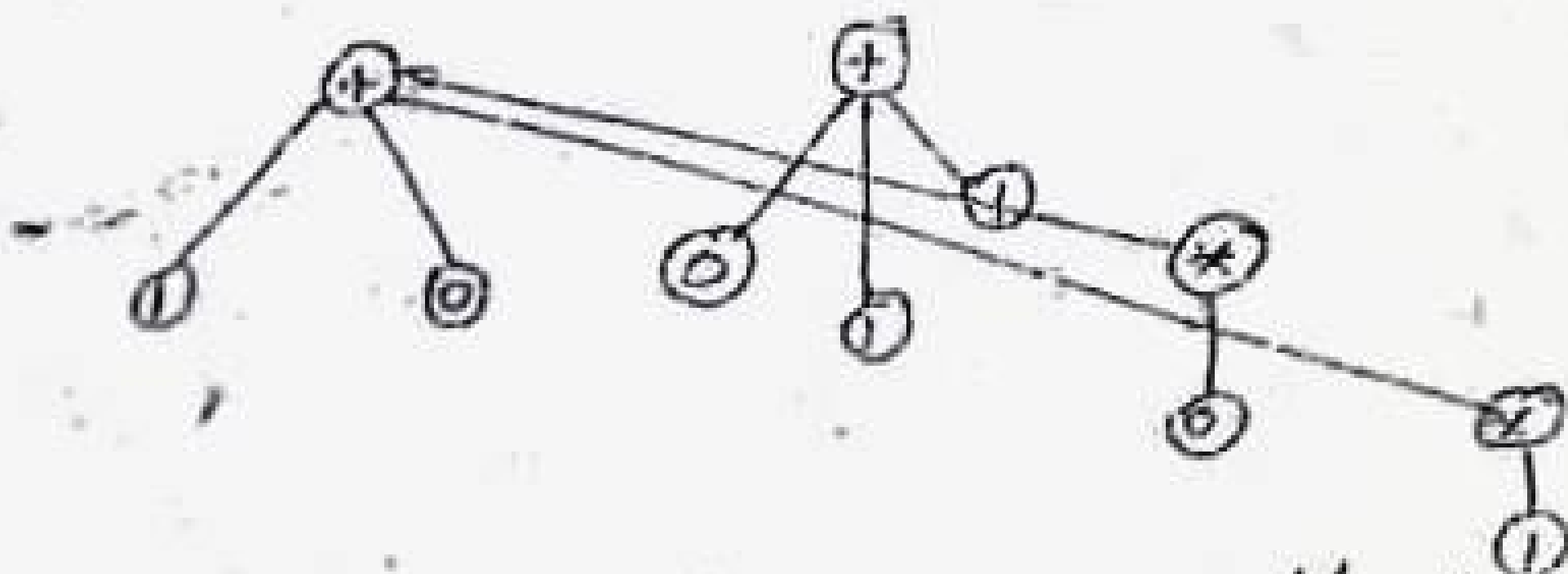
If  $A \rightarrow a$  is a rule in  $P$ ,  $f(A, a)$  contains  $q$ .

$G_1 \quad S \Rightarrow a^2 S b$   
 $\Rightarrow a^2 S b$   
 $\Rightarrow a S b$   
 $\Rightarrow a (a S b) b$   
 $\Rightarrow a a S b b$   
 $\Rightarrow a a (a b) b b$   
 $\Rightarrow a a a b b b$   
 $\Rightarrow a^3 b^3$

$L(G_1) = L(G_2)$  with  $L = \{a^n b^n \mid n \geq 1\}$

4. Draw the transition Diagram of FSA construct FSA for the following regular expression

$10^* (0+1)^* 0^* 1$



5. Design a FSA that will accept the set of natural no.  $x$  which are divisible by 3.

soln

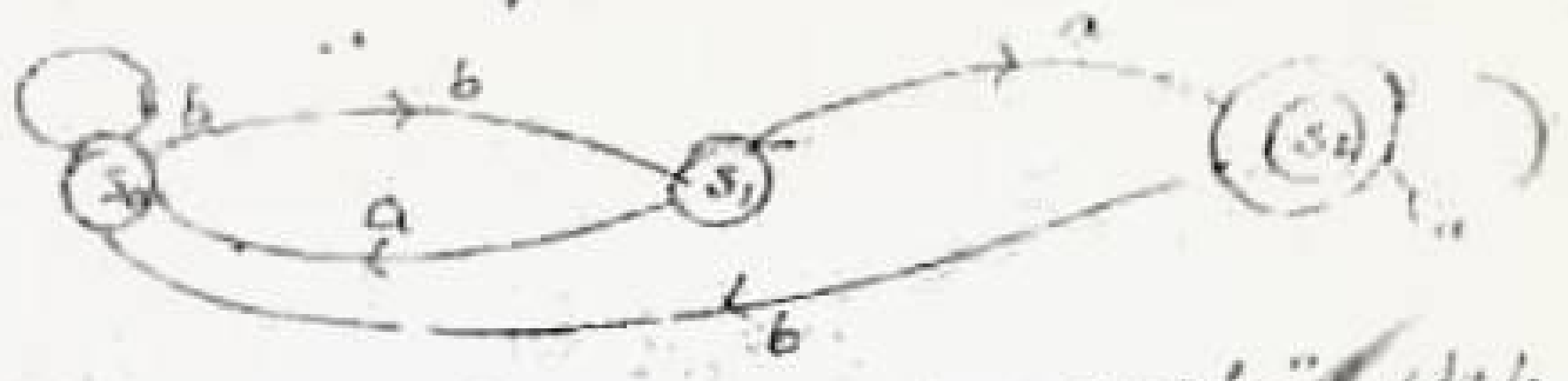
Let  $M = (S, I, P, S_f, S_0)$

Let  $S = (s_0, s_1, s_2)$

$I = (a, b)$

$s_0 \rightarrow$  initial state &  $s_2 \rightarrow$  Final state

Consider the set of natural no  $\Sigma$  as



In FSA, the final and the accepting state is  $q_2$ .  
Here, the no. of a's = 3 which is divisible by 3

Here  $n = 3$ .

Theorem

- (i) NDFA (ii) DFA (iii)  $\Sigma^*$  with Diagram
- (iv) In NDFSA,  $f(s_i, a)$  consist of set of possible state and NDFA is a generalization of DFA.
- (v) In DFA,  $f(s_i, a)$  a single state.

Theorem 3.5.5, 3.5.6

Langrange's theorem.

Pg. no. 11 (problem)

Theorem - 3.2.8

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